

# Environmental, Social and Governance

## 環境、社會及管治

### 證書 (單元：財富增值系列：ESG 投資法)

課程編號：FN059A

報名代碼：2270-FN059A



2520 4612

finsc@hkuspace.hku.hk

課程旨在教授學員認識環境、社會和管治 (Environmental, Social and Governance (ESG)) 的概念與因素，了解有關 ESG 投資機遇與可持續發展投資市場及產品，研究企業在環境、社會和管治方面的表現及洞悉其投資價值。

HK\$6,000

30 小時

資歷架構級別：3 資歷名冊登記號碼：21/000247/L3  
資歷名冊登記有效期：2021 年 5 月 1 日 - 持續有效

### 證書 (單元：財富增值系列： 綠色金融與社會責任投資)

課程編號：FN065A

報名代碼：2265-FN065A



2520 4612

finsc@hkuspace.hku.hk

課程旨在教授學員認識綠色金融及社會責任投資 (SRI) 的概念，了解綠色金融及社會責任投資的發展趨勢，介紹有關 SRI 及綠色金融的投資產品及洞悉其投資價值。此外，本課程亦會指導學員就有關 SRI 及綠色金融的投資產品進行分析及選擇。完成課程後，學員能夠選取適合自己的投資產品以作策略投資部署。

HK\$6,000

30 小時

資歷架構級別：3 資歷名冊登記號碼：21/000554/L3  
資歷名冊登記有效期：2021 年 9 月 1 日 - 持續有效

## External and Internal Audit

### 內部和外部審計

### Certificate for Module (Essentials of Internal Auditing)

Programme Code: AC141A

Application Code: 2265-AC141A



2867 8475 / 2867 8409

executive@hkuspace.hku.hk

The programme aims to provide students with a comprehensive knowledge of basic principles and standards of internal auditing, which will prepare them for further professional development and career advancement in this field. It also equips students with the necessary knowledge for the CIA examination conducted by the Institute of Internal Auditors (IIA).

Applicants shall:  
(a) hold a bachelor's degree awarded by a recognised university or equivalent; or  
(b) hold relevant and recognised professional qualifications.  
Applicants with other qualifications and substantial work experience will be considered on individual merits.

HK\$7,500  
Application Fee: HK\$150

2.5 months to 3 months

English

Level 5 (Reg. No.: 23/001150/L5) Validity Period: 01 Dec 2023 - on-going

### Certificate for Module (Practice of Internal Auditing)

Programme Code: AC142A

Application Code: 2275-AC142A



2867 8475 / 2867 8409

executive@hkuspace.hku.hk

The programme aims to provide students with the practical knowledge and skills required to plan and execute internal audit engagements, and communicate engagement results to management and the board. It also equips students with the necessary knowledge for the CIA examination conducted by the Institute of Internal Auditors (IIA).

Applicants shall:  
(a) hold a bachelor's degree awarded by a recognised university or equivalent; or  
(b) hold relevant and recognised professional qualifications.  
Applicants with other qualifications and substantial work experience will be considered on individual merits.

HK\$7,500  
Application Fee: HK\$150

2.5 months to 3 months

English

Level 5 (Reg. No.: 23/001151/L5) Validity Period: 01 Dec 2023 - on-going

### Certificate for Module (Business Knowledge for Internal Auditing)

Programme Code: AC143A

Application Code: 2285-AC143A



2867 8475 / 2867 8409

executive@hkuspace.hku.hk

The programme aims to provide students with essential business knowledge and skills necessary for performing internal audits in various organisational contexts and enhancing audit quality and efficiency. It also develops students' ability in evaluating information security controls and utilizing financial information for performance measurement. In addition, it equips students with the necessary knowledge for the CIA examination conducted by the Institute of Internal Auditors (IIA).

Applicants shall:  
(a) hold a bachelor's degree awarded by a recognised university or equivalent; or  
(b) hold relevant and recognised professional qualifications.  
Applicants with other qualifications and substantial work experience will be considered on individual merits.

HK\$7,500  
Application Fee: HK\$150

2.5 months to 3 months

English

Level 5 (Reg. No.: 23/001152/L5) Validity Period: 01 Dec 2023 - on-going

### Certificate for Module (Audit Analytics)

Programme Code: AC146A

Application Code: 2280-AC146A



2867 8409

certformod@hkuspace.hku.hk

The programme aims to provide students with the knowledge and skills to apply data analytics to enhance the quality and efficiency of audit processes. It will also introduce how the latest advances in artificial intelligence facilitate the application of audit analytics. AI supported Audit Analytics will be taught with Demonstration using ChatGPT.

Applicants shall hold:  
(a) a bachelor's degree awarded by a recognised university or equivalent; or  
(b) an Associate Degree / a Higher Diploma or equivalent and have at least 2 years of work experience; or  
(c) relevant and recognised professional qualifications.  
Applicants with other qualifications and substantial senior level work experience will be considered on individual merit.

HK\$7,500  
Application Fee: HK\$150

2 months to 2.5 months

English

Level 5 (Reg. No.: 23/001132/L5) Validity Period: 01 Dec 2023 - on-going

NCR1

This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，本課程屬獲豁免課程。It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

NCR2

These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，這些課程屬獲豁免課程。It is a matter of discretion for individual employers to recognize any qualification to which these courses may lead. 個別僱主可酌情決定是否承認這些課程可令學員獲取的任何資格。

NCR3

The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 課程主辦人正根據《非本地高等及專業教育(規管)條例》辦理豁免註冊手續。It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。